|  | PTO-1390<br>0-2003)                      | U.S. DEPARTMENT OF COMM  | DATE:  |                                      |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--------------------------------------|--|--|--|--|--|--|--|--|--|
|  |  | TRANSMITTAL LETTER TO  | June 28, 2006 ATTORNEY DOCKET NUMBER           |                                      |  |  |  |  |  |  |  |  |  |
|  |  | DESIGNATED/ELECTED   | 59402US004                                     |                                      |  |  |  |  |  |  |  |  |  |
|  |  | CONCERNING A FILING U  | U.S. APPLICATION NO.                           |                                      |  |  |  |  |  |  |  |  |  |
|  |  |  |  | (if known, see 37 CFR § 1.5)         |  |  |  |  |  |  |  |  |  |
|  |  | TIONAL APPLICATION NO.   | PRIORITY DATE CLAIMED                          |                                      |  |  |  |  |  |  |  |  |  |
|  |  | 004/041732   | 14 December 2004                               | 29 December 2003                     |  |  |  |  |  |  |  |  |  |
| MED  | DICAL                                    | INVENTION DEVICES AND KITS INCLUD  | DING SAME                                      |                                      |  |  |  |  |  |  |  |  |  |
|  | APPLICANT(S) FOR DO/EO/US Chad J. Carter |  |  |                                      |  |  |  |  |  |  |  |  |  |
|  |  |  | es Designated/Elected Office (DO/EO/L          | IS) the following items and other    |  |  |  |  |  |  |  |  |  |
|  | mation:                                  |  | les Designated/Elected Office (DO/EO/C         | os) the following items and other    |  |  |  |  |  |  |  |  |  |
| 1.   | $\boxtimes$                              | This is a <b>FIRST</b> submission of ite   | ems concerning a filing under 35 USC §         | 371.                                 |  |  |  |  |  |  |  |  |  |
| 2.   |  | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC § 371.   |  |                                      |  |  |  |  |  |  |  |  |  |
| 3.   |  | This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.           |  |                                      |  |  |  |  |  |  |  |  |  |
| 4.   |  | The US has been elected (Article 31).  |  |                                      |  |  |  |  |  |  |  |  |  |
| 5.   | $\boxtimes$                              | A copy of the International Application as filed (35 USC § 371(c)(2)).   |  |                                      |  |  |  |  |  |  |  |  |  |
|  | —<br>а.                                  |  | only if not communicated by the Interna        | itional Bureau).                     |  |  |  |  |  |  |  |  |  |
|  | b.                                       |  |  |                                      |  |  |  |  |  |  |  |  |  |
| c. 🛮 is not required, as the application was filed in the United States Receiving Office (RO/US) |  |  |  |                                      |  |  |  |  |  |  |  |  |  |
| 6.   | a. is attached hereto                    |  |  |                                      |  |  |  |  |  |  |  |  |  |
|  |  |  |  |                                      |  |  |  |  |  |  |  |  |  |
|  | b.                                       | •  | sed under 35 USC § 154(d)(4)                   |                                      |  |  |  |  |  |  |  |  |  |
| 7.   | ×  | Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3))  |  |                                      |  |  |  |  |  |  |  |  |  |
|  | a.<br>b.                                 | are attached hereto (required only if not communicated by the International Bureau).   |  |                                      |  |  |  |  |  |  |  |  |  |
|  | C.                                       | <ul> <li>□ have been communicated by the International Bureau</li> <li>□ have not been made; however, the time limit for making such amendments has NOT expired</li> </ul> |  |                                      |  |  |  |  |  |  |  |  |  |
|  | d.                                       | M have not been made and will not be made.   |  |                                      |  |  |  |  |  |  |  |  |  |
| 8.   |  | An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)).   |  |                                      |  |  |  |  |  |  |  |  |  |
| 9.   | $\boxtimes$                              | An oath or declaration of the inventor(s) (35 USC § 371 (c)(4)).   |  |                                      |  |  |  |  |  |  |  |  |  |
| 10.  |  | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §§ 371(c)(5)).                             |  |                                      |  |  |  |  |  |  |  |  |  |
| Item   | s 11 to                                  | 20 below concern document(s  |  |                                      |  |  |  |  |  |  |  |  |  |
| 11.  |  | •  | ment under 37 CFR §§ 1.97 and 1.98.            |                                      |  |  |  |  |  |  |  |  |  |
| 12.  | $\boxtimes$                              | An assignment to 3M Innovative Properties Company will follow.   |  |                                      |  |  |  |  |  |  |  |  |  |
| 13.  |  | A preliminary amendment.   |  |                                      |  |  |  |  |  |  |  |  |  |
| 14.  |  | An Application Data Sheet under 37 CFR § 1.76.   |  |                                      |  |  |  |  |  |  |  |  |  |
| 15.  |  | A substitute specification.  |  |                                      |  |  |  |  |  |  |  |  |  |
| 16.  |  | A power of attorney and/or change of address letter.   |  |                                      |  |  |  |  |  |  |  |  |  |
| 17.  |  | A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR §§ 1.821 –  |  |                                      |  |  |  |  |  |  |  |  |  |
|  |  | 1.825.   | . 11 quanto maria de contra de maria e maria e |                                      |  |  |  |  |  |  |  |  |  |
| 18.  |  | A second copy of the published   | international application under 35 USC         | § 154(d)(4).                         |  |  |  |  |  |  |  |  |  |
| 19.  |  | A second copy of the English lar   | nguage translation of the international ap     | oplication under 35 USC § 154(d)(4). |  |  |  |  |  |  |  |  |  |
| 20.  |  | Other items or information:  |  |                                      |  |  |  |  |  |  |  |  |  |

| U.S. APPL                  | N. NO. (if known, s                                     | ee 37 CFR § 1.5):  |                                  | TERNATIONAL /<br>CT/US2004/041                |                        | ATTORNEY'S DC<br>59402US004 | CKET NO.:    |
|----------------------------|---|--|----------------------------------|---|------------------------|-----------------------------|--------------|
| Т                          | he following fe   | es will be paid  |                                  |   |                        | Calculations                | PTO Use Only |
| 21.                        |   | fee (37 CFR 1.49   |                                  |   |                        | 300                         | -            |
| 22.                        | Examination fe  | ·  |                                  |   |                        |                             |              |
| If the writte<br>report pr | n opinion prepare<br>epared by IPEA/U                   | ed by ISA/US or th<br>IS indicates all cla                           | ne internation<br>aims satisfy p | provisions of                                 |                        |                             |              |
|                            | cle 33(1)-(4)<br>tuations                               | 200  |                                  |   |                        |                             |              |
|                            | Search fee (37  | 200  |                                  |   |                        |                             |              |
| If the writte<br>report pr | en opinion prepare<br>epared by IPEA/U<br>cle 33(1)-(4) |  |                                  |   |                        |                             |              |
| Search fee                 | (37 CFR 1.445(a   |  |                                  |   |                        |                             |              |
|                            | as an Internationa<br>al Search Report                  | 400  |                                  |   |                        |                             |              |
|                            | previously comm   |  |                                  |   |                        | 400                         |              |
|                            | tuations  |  |                                  |   |                        |                             |              |
|                            |   |  |                                  |   |                        | ****                        |              |
|                            | TOTAL OF 21,  |  |                                  |   |                        | \$900.00                    |              |
|                            | sequence or comp  | specification and dra<br>outer program listing<br>50 sheets of paper | g filed in an ele                | ectronic medium) T                            |                        |                             |              |
| Fee Calc                   | Number Filed  | (Minus 25%)<br>EFS Filing  | Minus 100                        | Calc.Extra                                    | Rate (for ea.add'l 50) | Fees                        |              |
| Application<br>Size Fee    | 17  | Number in B<br>multipled by .75                                      | delete 100<br>from C             | Divide D by 50<br>(round up to<br>nearest 50) | \$250.00               |                             |              |
|                            | of \$130.00 for furr<br>med priority date               |  |                                  | later than 30 mc                              | onths from the         | \$0.00                      |              |
| CLAIMS                     |   | NUMBER   | FILED                            | EXTRA   | RATE                   | Fees                        |              |
| Total claim                |   | 18   | - 20 =                           | 0   | x \$50.00              | \$0.00                      |              |
| Independe                  |   | 1 1  | - 3 =                            | 0   | x \$200.00             | \$0.00                      |              |
| Add'i fee fo               | or one or more r  | nuitipie depend  | ent claims                       | Total A                                       | \$360.00<br>mount Due  | \$0.00                      |              |
| ☐ Annli                    | cant claims small                                       | entity status Se   | e 37 CER 8                       |   | anount Due             | <b>\$900.00</b><br>\$0.00   |              |
|                            | ees indicated abo                                       |  |                                  | 1.27.   |                        | ψ0.00                       |              |
|                            |   |  | -                                | S   | UBTOTAL =              | \$900.00                    |              |
|                            | fee of <b>\$130.00</b> fo rliest priority date          |  |                                  |   |                        | \$0.00                      |              |
|                            |   |  |                                  | TOTAL NATIO                                   |                        | \$900.00                    |              |
|                            | ding the enclosed a<br>d by an appropriate o            | perty  | \$0.00                           |   |                        |                             |              |
|                            |   |  | T                                | <u>OTAL FEES EI</u>                           | NCLOSED =              | \$900.00                    |              |
|                            |   |  |                                  |   |                        | Amount to be<br>refunded:   | \$           |
|                            |   |  |                                  |   |                        | Amount to be                | \$900.00     |
|                            |   |  |                                  |   |                        | charged                     | ,<br>        |
| a.<br>b.                   |   | ng.<br>ne amount of \$   | to co                            | over the above                                |                        |                             |              |
| р.<br>С.                   | fees.   |  |                                  |   |                        | es which may be re          |              |
|                            | any ov  | erpayment to De  | posit Accour                     | nt No. <u>13-3723</u>                         |                        |                             |              |
| 1.137(a) o                 | here an appropri<br>r (b)) must be file                 |  |                                  |   |                        | etition to revive (3<br>ıs. | 7 CFR §      |
| Signature                  | <b>.</b>  |  |                                  |   |                        |                             |              |
| / Daniel R.<br>Name:       | Pastirik /  |  |                                  | Por   | jistration Nur         | nher:                       |              |
| DANIEL R.                  | PASTIRIK  | 025  | IINCI.                           |   |                        |                             |              |
| Address:                   | Office of I   | ntellectual Prop   |                                  |   |                        |                             |              |
|                            |   | ative Properties   | Company                          |   |                        |                             |              |
|                            | P.O. Box St. Paul, N                                    | <i>33421</i><br>Minnesota 55133                                      | 3-3427                           |   |                        |                             |              |